

CR Number: 2798

External Reference: DJ Waddell - MD - 240-228-5878

Category: Business rules/process to clarify data source

Component: SAFER/CVIEW

Synopsis: Synopsis: Data integrity issues are resulting from a source, other than the authoritative source, submitting vehicle registration data to SAFER.

Summary: A source other than the authoritative source can submit vehicle registration data to SAFER. If the authoritative source later updates the information, the data already in SAFER may be overwritten. Business rules/process need to be established (a) to clarify the source of data and (b) to coordinate data entry/update by authoritative source and authorized but not 'authoritative' source.

Proposal: The proposal consists of several clauses.

1. A state (in most cases, a "non-participating" state) may authorize another state to send vehicle registration data to SAFER on its behalf; this must be documented by letter/email. Letter/email will also be required to withdraw the authorization.
2. A new table in SAFER will be created to keep track of which states are authorized to send vehicle registration data to SAFER for any given state. The default would be that only the IRP base state would be authorized to send vehicle registration data to SAFER.
3. Volpe will consider whether the new table is also the appropriate place to store contact information.
4. If a state that is not authorized according to the process attempts to send vehicle registration data (XML T0020, T0021, or T0022) for another state to SAFER, the XML transactions will be rejected and the IRP base state will be notified that an unauthorized state has attempted to send vehicle registration data on its behalf.
5. The REGISTRATION_START_DATE will be a mandatory field and REGISTRATION_EXPIRE_DATE will be a conditionally mandatory field in the vehicle registration data.
6. The table will be posted in some form on the CVISN website.

Status: Recommended

Disposition: [2004-09-27] Recommended for FMCSA approval. Will be posted to CVISN System Architects list serv for review.

Description: A source other than the authoritative source, such as an escreening enrollment system, can submit vehicle registration data to SAFER. If the authoritative source (e.g. IRP base state) later updates the information, the data already in SAFER, such as the escreening enrollment information, may be overwritten. Business rules or a process need to be established (a) to clarify the source of data and (b) to coordinate data entry/update by authoritative source and authorized but not 'authoritative' source.

[2004-07-12] per DJ Waddell 7/8/04

Scenario: Vehicle operators want to enroll in Maryland's e-screening program, but their IRP base state has not provided registration data for the vehicle to SAFER.

Maryland's e-screening enrollment system collects data from the registrant, creates a

vehicle registration record in CVIEW, and then enrolls the specified carrier and vehicle for the Maryland e-screening program. Data details are below. Maryland's e-screening enrollment program is operated by state agencies under the Maryland DOT, as is Maryland's IRP office.

Once the registration data is entered, the e-screening enrollment process may proceed, collecting the transponder number and the jurisdictions to enroll for. Technically, transponder number is part of the Vehicle_VIN table, so it is registration data.

The registration data is sent to SAFER by MD CVIEW.

Analysis is needed on potential data collisions. If an authoritative source for vehicle registration data begins to contribute data to SAFER, and provides an update to one of the registration records entered by another source, the new data will probably overwrite the data already in SAFER. For example, if the IRP base state updates registration data entered by the MD e-screening program, this would probably un-enroll the vehicle from MD E-Screening, since transponder number and CVIS_DEFAULT_CARRIER USDOT number would probably not be provided by the IRP base state, and they would probably be replaced by NULL.

A process is needed to "close the loop" with non-participating states to inform them of data submitted listing them as the IRP Base State, and to request new expiration dates when license plates are renewed for enrolled vehicles. For example, MD would like to have in place ASAP an email list for the IRP offices for each jurisdiction. Then when a vehicle registration record is created or modified for e-screening enrollment, an email would be sent (possibly/someday automatically) to the corresponding jurisdiction's designated IRP office. MD proposes that the change go into CVIEW and SAFER with no action from the base jurisdiction, as it does now, with a plan/process in place so that it could be retracted if the base jurisdiction objects, with any eye to automating that process as well.

Vehicle Registration Data Fields:

Mandatory Fields:

- VIN
- License plate number
- License plate state (= IRP base state)
- Registration expiration date
- IRP registered weight for the e-screening state
- USDOT number of the carrier responsible for the safe operation of the vehicle
- Transponder identifier

Optional Fields:

- Title number
- Title jurisdiction
- Owner name
- Unit number
- Model year
- Make
- Type
- Fuel type
- GVW
- Unladen weight
- Number of axles (truck)
- Number of seats (bus)

Registration start date

[2004-07-19] Presented and discussed at the 7/15/04 ACCB meeting. This CR will be posted to the CVISN Systems Architects list serv for discussion; no decision is being proposed at this time. A conference call will be scheduled for the week of August 16 if states are interested.

[2004-08-11] Andrew Wilson posted a document and a spreadsheet to the CVISN System Architect list serv. Both are available via the Attachments tab.
1. The attached Word document contains some background notes for the upcoming conference regarding CVISN Architecture CR 2798.
2. The attached spreadsheet contains the number of IRP records by IRP base state currently in the SAFER database. The relatively small number of records for some states are typically records that were entered to support E-Screening enrollment or PRISM vehicle targeting for another State.

[2004-08-23] This CR was discussed at the July and August ACCB meetings, and at a special teleconference on August 16, 2004. The proposal described in the "Synopsis" section was developed and refined at those meetings. Corrected minutes from the 2004-08-16 meeting are attached to this CR.

[2004-09-08] There is a correction to the minutes of the 19 Aug ACCB meeting. Item 3-5 should read: "5. The REGISTRATION_START_DATE and REGISTRATION_EXPIRE_DATE will be mandatory fields in the vehicle registration data."

[2004-09-27] Presented and discussed at the 2004-09-23 ACCB meeting. Item 3-5 was modified to read: "The REGISTRATION_START_DATE will be a mandatory field and REGISTRATION_EXPIRE_DATE will be a conditionally mandatory field in the vehicle registration data."

This CR was recommended for FMCSA approval. It will also be posted to the CVISN System Architects list serv for review.

Impact on architecture:
None

Impact on documentation:
SAFER ICD

Impact on States:
States will need to implement the process described in the proposal.

Fix:

Comment:

Attachment Names: CR2798 analysis_V02.doc CR2798 IRPCounts.xls ACCB CR 2798 Minutes 2004-08-16_v2.doc Minutes of CVISN ACCB Meeting August 19 2004 - Correction to CR 2798.rtf

Responsibility:

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Modified By: Magnusson Nancy C.

Entered On: 7/12/2004 8:59:22 AM

Entered By: Magnusson Nancy C.

Severity: Medium

Priority: No

Type: Defect

Closed On: