

CR Number: 3114

External Reference: SAFER CR 173

Category: SAFER XML

Component: SAFER/CVIEW

Synopsis: Enhance T0032 transaction to include additional company information.

Summary: This transaction will be versioned to add additional company information to the L&I transaction.

Action: States are requested to comment as to whether there are additional L&I data fields they would like to see added to the T0032v2 transaction not currently seen in the T0032 transaction.

Status: Recommended

Disposition: [2006-03-21] Approved and scheduled for SAFER v5.1 release (Aug 2006)

Description: Missouri has requested that the following data elements be added to the T0032 transaction:

---Add the carrier's business street, business city, business state, and business zip to the T0032 xml file. ---Add BOC3 yes or no indicator to the T0032 XML file.

---Add Blanket Company field to the T0032 XML file.

---Dependent upon the criteria of the statuses, we might need a status effective date for the Common_Auth_Status and Contract_Auth_Status fields if the status and the dates are not timed properly.

General statements from Missouri:

Missouri is implementing a new web-based system for a one-stop shop and would like to use federal data for filing requirements for the Single State Registration Program. If we have sufficient information, we can continue to monitor federal data and use the data without additional filing requirements in our state. This would allow us to know immediately when the federal authority is inactive and can take the same action at the state level in conjunction with our credentials issued. In order to use this data, we believe that additional data elements for this program are needed as outlined below.

The T0032 file, which contains information about authority granted under the MC number, does not contain the business address. This address which is defined as the carrier's principle place of business where their headquarters are located, is needed within this file for use by states under the SSRS program because some reciprocity agreements waive fees based on principle place of business. I know that a physical address is in the T0031 file address, and although in most instances is the same address as what would be shown in the T0032 file, it has a different definition. That address is defined as where the safety records are maintained and can be made available. In Missouri's experience, for the most part, these two addresses are the same. We have found, however, that they can be, and in some cases are, different and must be respected as different. This makes it more important that we have the right address with the right definition. States can get into trouble if we either collect or fail to collect proper fees for other jurisdictions.

Also, in looking historically at the Licensing and Insurance file, we find that the status of the carrier shows ACTIVE and the BOC3 file shows "NO" quite often. This tells us that the BOC3 requirements are not being met and the carrier is in noncompliance even though the status is ACTIVE. What would be helpful to Missouri is to have that field in the T0032 file along with the Blanket Company field. We could then police that requirement and have the carrier file it when it is not on file. If we could rely on

the data to be filed when it should be, we would not need this information.

[2005-04-25] Presented and discussed at the ACCB meeting on 2005-04-21. This transaction will be versioned to add additional company information to the transaction (T0032v2). States will only have to make modifications to their systems if they want these fields. Volpe asked the states if there are other L&I data fields that should be included in the new transaction. This CR will be posted to the CVISN System Architects list serv for comment and review.

[2005-05-04] Wisconsin posted the following request for additional data fields via the CVISN System Architects list serv.

From enforcement: Not sure what's there now, but we will need to have real-time access to operating authority information for ALL carriers. The FMCSA requires that we place carriers operating without authority or beyond the scope of their authority out-of-service at the roadside.

From Insurance/Authority/SSRS program: It would be nice if we had accurate information on true legal name, company officers, EIN number and when they are revoked what is the specific reason why.

[2005-05-24] Presented and discussed at the ACCB meeting on 2005-05-19. Volpe will check on the availability of the additional fields requested by WI. The CR was recommended for FMCSA approval.

[2005-05-27] Volpe's response (via list serv) to additional fields requested by WI: After checking with the L&I team, it appears that ownership/company officers and EIN are stored in MCMIS. SAFER currently gets EIN from MCMIS via the MCMIS load program but not ownership/company officers. We need to add ownership/company officers to the company snapshot in order to get the data from MCMIS. SAFER also receives the legal name from MCMIS, not L&I, but they are the same legal name. If you could verify that this is the legal name you are asking for, that would be great.

Operating authority does not apply to "ALL carriers" but only to for-hire, which is a small subset. As far as L&I goes, they are only revoked because of insurance lapses.

[2005-06-29] Presented for informational purposes at 6/23/05 ACCB meeting. This CR was presented for informational purposes only since it was already recommended for FMCSA approval last month. Volpe responded to WI's request for additional fields in the T0032 Licensing and Insurance Update transaction. EIN and ownership/company officers are currently stored in MCMIS. SAFER currently gets EIN from MCMIS via the MCMIS load program but not ownership/company officers. Volpe would need to add ownership/company officers to the company snapshot in order to get the data from MCMIS. Volpe suggested that since the snapshots were being changed, the additional fields should be requested from MCMIS. These fields will be incorporated in the T0031V2 MCMIS Safety and Census Update Output Transaction under CR 3115.

[2006-03-21]

This is an August release candidate for T0032 transaction.

[2006-05-04] Presented at 4/20/06 ACCB meeting.

The enhancements will be incorporated in the T0032v2 transaction.

Impact on SAFER:

Impact on States:

Impact on architecture:

Impact on documentation:

Fix:

Comment:

**Attachment
names:**

Responsibility:

Modified Time: 5/4/2006 12:56:11 PM

Modified By: Magnusson Nancy C.

Entered On: 4/15/2005 9:32:35 AM

Entered By: Magnusson Nancy C.

Severity: Medium

Priority: No

Type: Suggestion

Closed On: